

SECURITIES REGISTRATION AND REMITTANCE

All DTC eligible shares must be deposited through DTC or DWAC (if not a DTC participant). It is recommended that fractional shares be sold prior to escheatment and reported as cash in lieu. The DTC Transfer and Physical delivery instructions are listed below.

IMPORTANT: Documentation demonstrating that reported securities have been transferred into the ownership of the state is required to satisfy an escheat liability.

➤ For DTC (Electronic) Transfer

DTC # 954

Agent Bank # 26017

Reference: Dover & Co

Acct # AUZF0192702

Two days prior to actual delivery a list of the securities including cusip numbers, the number of shares, issue names, and the delivering party's DTC participant number should be faxed to:

1. ACS Unclaimed Property Clearinghouse at 617-722-9660, Attn: Custody Department AND
2. DELAWARE State Escheator at 302-577-7179, Attn: Custody Department or E-mailed to escheat.holderquestions@state.de.us

All dividend reinvestment elections should terminate after registration. All income dividends and capital gains should be paid in CASH. DO NOT ELECT A REINVESTMENT OPTION.

Federal Reserve Book Eligible Securities Delivery Instructions

Federal Reserve Bank of New York

ABA#0210-0001-8

BK of NYC/TRUST

FBO - State of Delaware; Account # AUZF0192702

➤ Delivery of Foreign Securities

When attempting to deliver foreign securities, please contact ACS Unclaimed Property Clearinghouse at 617-722-9655 to obtain delivery instructions and account information.

➤ **For Dividend Reinvestment Plans (DRP) and Closed End Mutual Fund Accounts:**

DTC # 954
Agent Bank # 26017
Reference: Dover & Co.
Acct # AUZF0192702

Close DRP accounts and forward whole shares via DTC. **Fractional shares should be sold and proceeds applied to each individual owner when filing.** Do not total fractions for all owners and liquidate. Please include the proceed remittance check with your final filing and payment.

➤ **For Physical Stock Certificates – Securities not eligible for DTC**

To remit physical stock certificates, the stock must be reregistered in the following name:

Dover & Co.
EIN: 43-2016158
820 North French Street
Wilmington, DE 19801

Please include all physical stock certificates with your Form AP-1.

All dividend reinvestment elections should terminate after registration. All income dividends and capital gains should be paid in CASH. **DO NOT ELECT A REINVESTMENT OPTION.**

➤ **For Open End Mutual Fund Accounts**

For Open End Mutual fund accounts contact ACS Unclaimed Property Clearinghouse at 617-722-9656 to obtain account numbers 72 hours prior to attempting delivery OR contact upch.custody@acs-inc.com and provide a list including CUSIP number, name of the fund, and share amount. ACS will provide account numbers and registration information for all mutual funds that will be transferred to the state's account. **Do not register mutual funds to state name or nominee name until proper instructions have been received.**

Please ensure that interested party statements are sent to:

Delaware Office of Unclaimed Property
PO Box 8931
Wilmington, DE 19899

Two days prior to actual delivery a list of the mutual funds including cusip numbers, the number of shares and issue names should be faxed to:

1. ACS Unclaimed Property Clearinghouse at 617-722-9660, Attn: Custody Department AND
2. DELAWARE State Escheator at 302-577-7179 Attn: Custody Department

All dividend reinvestment elections should terminate after registration. All income dividends and capital gains should be paid in CASH. DO NOT ELECT A REINVESTMENT OPTION.